

MEMO# 6917

May 5, 1995

JUNE 6 MEETING

May 5, 1995 TO: COMPLIANCE COMMITTEE No. 22-95 RE: JUNE 6 MEETING

A meeting of the Compliance Committee has been scheduled for June 6th. The meeting will be held at 2:00 p.m. in the Institute's David Silver Conference room. We will discuss the following items at the meeting: (1) implementation of the Institute's personal investing recommendations; (2) NASD continuing education requirements; (3) mutual fund internal compliance; (4) the SEC's new Office of Compliance Inspections and Examinations; (5) "householding" shareholder communications; (6) issues regarding implementation of T+3; and (7) prospectus disclosure and responses to shareholder inquiries regarding a fund's use of derivatives. Please let me know if there are any other items that you would like to discuss at the meeting. My direct number is 202/326-5824. Please contact Eleanor Aguilar at 202/326-5814 by May 26th to let her know whether you will be attending the meeting.

Amy B.R. Lancellotta Associate Counsel

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