

**MEMO# 10013**

June 15, 1998

## **YEAR 2000 SURVEY RESULTS AND REQUEST FOR ADDITIONAL RESPONSES**

[10013] June 15, 1998 TO: OPERATIONS COMMITTEE No. 25-98 SMALL FUNDS COMMITTEE  
No. 11-98 RE: YEAR 2000 SURVEY RESULTS AND REQUEST FOR ADDITIONAL RESPONSES

Enclosed

please find a copy of the results of the Y2K survey as of June 12, 1998. The questionnaire was initially circulated in March of this year with a second request for responses circulated in May. The Institute wishes to thank all those who are listed as respondents for assisting us in demonstrating to the SEC and others that the mutual fund industry is diligently at work addressing the Y2K problem. (The aggregate results were provided to the SEC to assist in the Commission's report to Congress on securities industry Y2K compliance.) If your firm is not listed among the participants, we would appreciate your taking a few minutes to complete and fax the enclosed questionnaire to Agnes Thomas at fax no. 202-326-8314. Given the possibility that the Institute may be requested to testify at Congressional hearings on Y2K preparedness, we would hope to have our data reflect as great a coverage of the industry as possible. Thank you for your help. Donald J. Boteler Vice President  
Operations & Training Enclosures

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