

MEMO# 2980

August 2, 1991

MAINE INCREASES SECURITIES REGISTRATION FEES

August 2, 1991 TO: STATE SECURITIES MEMBERS NO. 30-91 UNIT INVESTMENT TRUST
MEMBERS NO. 29-91 RE: MAINE INCREASES SECURITIES REGISTRATION FEES

The Institute has been notified that legislation has been signed into law in Maine which will raise certain registration fees, effective August 19, 1991. The annual fee for the registration of each fund class, portfolio or series of securities will increase from \$300 to \$400. The only exception would be the fee for filings of less than \$1 million registered by qualification, which would remain \$300. Additionally, the fee for an initial or renewal sales representative licensing will increase from \$30 to \$40. Please note that any fees received by the Maine Securities Division on Monday August 19, 1991 or thereafter must be for the increased amount or the check will be returned. A copy of Maine's notice to the Institute and the relevant portion of the bill are attached. Susan S. Burgess Legal Assistant Attachment

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