

MEMO# 15139

September 9, 2002

SURVEY: MUTUAL FUND SALES DESK PRACTICES

[15139] September 9, 2002 TO: SALES DESK MANAGERS ROUNDTABLE No. 5-02 RE: SURVEY: MUTUAL FUND SALES DESK PRACTICES In preparation for the October 7-8, 2002 meeting of the Investment Company Institute's Sales Desk Managers Roundtable, the Roundtable is conducting a brief survey on mutual fund sales desk practices. Regardless of whether you plan to attend the October meeting, please complete this survey (it should take no more than 5 minutes to complete) and return it to my attention via email or fax no later than Friday, September 20, 2002. My email address is brenner@ici.org. My fax number is 202/326-5853. Survey results will be reported to all participating mutual fund organizations—even if the organizations are not represented at the October meeting. Only one survey should be completed per mutual fund organization. Individual survey responses will remain confidential. If you have any questions about the survey, please contact me at 202/326-5883 (email: brenner@ici.org). Thank you. Linda Brenner Director, Operations & Continuing Education Attachment (in .pdf format)

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