

MEMO# 3612

March 20, 1992

COMMISSION SETTLEMENT - GLOBAL BRANCH AND ACCOUNT REPRESENTATIVE UPDATES

March 20, 1992 TO: BROKER/DEALER ADVISORY COMMITTEE NO. 9-92 RE: COMMISSION
SETTLEMENT - GLOBAL BRANCH AND ACCOUNT REPRESENTATIVE UPDATES

For your information, attached are the latest documents concerning the proposed Commission Settlement and Global Branch and Account Representative change functions. Included here are: - Business Plan dated March 3, 1992 - Record Layouts dated March 3, 1992 These documents were forwarded to us by Stephen Tunney for distribution to Broker-Dealer Advisory Committee members. Donald E. O'Connor Vice President - Operations Attachments

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