

MEMO# 15316

October 31, 2002

COMMITTEE MEETING - DRAFT AGENDA

[15316] October 31, 2002 TO: COMPLIANCE ADVISORY COMMITTEE No. 96-02 RE: COMMITTEE MEETING - DRAFT AGENDA As we previously informed you,¹ the next meeting of the Compliance Advisory Committee is scheduled for Tuesday, November 12, 2002. The meeting will take place at the offices of the Investment Company Institute, 1401 H Street, N.W., Washington, D.C. The meeting will be held in the David Silver Conference Room on the 12th Floor at 1:30 p.m. Eastern time. Lunch will be available from 12:30 - 1:15 p.m. Participation by videoconference will be available to Committee members at the offices of Dodge & Cox, One Sansome Street, 32nd Floor, San Francisco, CA, at 10:30 a.m. Pacific time. Lunch will be served following the meeting in California. Attached is the draft agenda. If there are other matters that you would like to discuss at the meeting, please contact me at (202) 326-5923 (phone) or bsimmons@ici.org (email). Finally, if you have not already done so, please return the attached response form to my assistant, Stephanie Holly, to let her know if you, or someone on your behalf, will be attending the meeting, and at which location you will be attending. Stephanie can be reached by phone at (202) 326-5814, by facsimile at (202) 326-5827, and by email at sholly@ici.org. We look forward to seeing you on November 12th. Barry E. Simmons Associate Counsel Attachment (in .pdf format) 1 See Memorandum to Compliance Advisory Committee No. 86-02, dated October 8, 2002.