

MEMO# 18673

March 21, 2005

DRAFT AGENDA FOR MARCH 2005 CCO COMMITTEE MEETINGS

[18673] March 21, 2005 TO: CHIEF COMPLIANCE OFFICER COMMITTEE No. 27-05 RE: DRAFT AGENDA FOR MARCH 2005 CCO COMMITTEE MEETINGS As we previously informed you, the Institute will be conducting regional meetings of the CCO Committee.¹ The first meetings of the Committee will take place as follows: Region Date Location/City Time West Coast Thursday, March 24th Franklin Templeton Investments 10:00 a.m. PST 1 Franklin Parkway San Mateo, CA 94403-1906 Midwest Tuesday, March 29th Ariel Capital Management 10:00 a.m. CST 200 East Randolph Drive 80th Floor - Mid-America Club Chicago, IL 60601 East Coast Thursday, March 31st Investment Company Institute 10:00 a.m. EST 1401 H Street 12th Floor - David Silver Conference Room Washington, DC 20005 Members are welcome to attend a meeting in any of the regions listed above. Please note, however, that the East Coast regional meeting in Washington DC is filled to capacity. If you have not already done so, please indicate on the attached registration form whether you or an alternate will be attending the meeting. It is expected that each meeting will end no later than 2:00 p.m. Attached is a draft agenda for the meetings. If there are other matters that you would like to discuss, please contact me directly by phone at (202) 326-5923, by fax at (202) 326-5827, or by email at bsimmons@ici.org. Barry E. Simmons Associate Counsel Attachment (in .pdf format) 1 See Memorandum to Chief Compliance Officer Committee No. 21-05 and Compliance Advisory Committee, No. 19-05, dated February 28, 2005 [18599]. As the memo noted, each meeting will consist of a general session in the morning for all committee members and a breakout session in the afternoon for members who wish to discuss issues of concern to small funds, with lunch provided between the two sessions.