

**MEMO# 5840**

April 29, 1994

## **JUNE 21 MEETING**

April 29, 1994 TO: COMPLIANCE COMMITTEE NO. 8-94 RE: JUNE 21 MEETING

A meeting of the Compliance Committee has been scheduled for June 21. The meeting will be held at 10:00 a.m. in the Institute's David Silver Conference Room. Lunch will be served following the meeting. We will discuss the following items at the meeting: (1) Blue Ribbon Task Force's report on portfolio managers' personal securities trading; (2) preliminary recommendations of Institute's internal compliance task force; (3) allocation procedures; (4) NASD notice on cash/noncash compensation; (5) Fed daylight overdraft charges and tri-party repos; (6) new wrap fee disclosure requirements; and (7) compliance with various regulatory requirements restricting investments in various industries (e.g., public utilities). Please let Amy Lancellotta know if there are any other items that you would like to discuss at the meeting. She can be reached at 202/326-5824 and her fax number is 202/326-5828. Please contact Chimeme Taylor at 202/326-5823 by June 1, 1994 to let her know whether you will be attending the meeting. Ellen Metzger Chairperson