

MEMO# 1402

September 14, 1989

IRS FORMS 4461, 4461-A AND 4461-B

September 14, 1989 TO: PENSION MEMBERS NO. 44-89 RE: IRS FORMS 4461, 4461-A AND 4461-B _____ Attached are copies of the latest versions of IRS Form 4461, Application for Approval of Master or Prototype and Regional Prototype Defined Contribution Plan, Form 4461-A, Application for Approval of Master or Prototype and Regional Prototype Defined Benefit Plan, and Form 4461-B, Application for Approval of Master or Prototype Plan or Regional Prototype Plan (Mass Submitter Adopting Sponsor). According to Announcement 89-99, a copy of which is also attached, Forms 4461 and 4461-B have been revised to reflect changes made by the Tax Reform Act of 1986. The new forms must be used for any requests postmarked after August 14, 1989. Form 4461-B is a new form designed to be used for requesting approval of a plan submitted by a mass submitter on behalf of a sponsoring organization, which is based on a plan submitted by the mass submitter. The form may be used by master or prototype mass submitters or by regional prototype plan mass submitters. All three forms are designed to be used with optical scanning equipment and must be filed on the original forms. We will keep you informed of further developments. Kathy D. Ireland Assistant General Counsel

Attachments

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