

**MEMO# 10421**

November 10, 1998

## **CONFERENCE CALL ON DOL PROPOSED CLAIMS PROCEDURE REGULATIONS**

[10421] November 10, 1998 VIA FAX TO: PENSION COMMITTEE No. 78-98 PENSION  
OPERATIONS ADVISORY COMMITTEE No. 61-98 RE: CONFERENCE CALL ON DOL PROPOSED  
CLAIMS PROCEDURE REGULATIONS

At the  
October 22 Pension Committee meeting, we discussed the need to submit a comment letter to address certain issues arising from the Department of Labor's proposed revision of their claims procedure regulations. Concerns expressed by members of the Committee include the proposal's expansive definition of "claim" and proposed claims appeals procedures that may require the additional involvement of traditionally non-discretionary recordkeepers and TPAs. We would like to discuss these issues and any others that you identify in order to develop a comment letter. The Department of Labor has extended the comment deadline to December 9, 1998. A conference call has been scheduled for Monday, November 16 at 2:00 PM. If you plan to participate, please respond by fax on the form below. A copy of the claims procedure proposal was sent to you on September 24, 1998. (See Institute Memorandum to Pension Committee No. 65-98 and Pension Operations Advisory Committee No. 47-98). If you would like another copy of the proposed regulations, please contact Samedi Rahamtalla at (202) 326-5820. Russell G. Galer Senior Counsel ATTENDANCE RESPONSE FORM FOR CONFERENCE CALL ON DOL Proposed Claims Procedure Regulations Monday, November 16, 1998 - 2:00 p.m. EST Please fax this form by Friday, November 13, 1998 to Theresa Brice at 202-326-5841. Yes, I will participate in the Conference Call regarding DOL Proposed Claims Procedure Regulations on Monday, November 16, 1998 at 2:00 p.m. EST. To participate in the call, dial 1- 800-523-5415 and ask for the "Claims Procedure" call. COMMITTEE MEMBER - COMPANY