

MEMO# 6401

November 18, 1994

SEC SEMI-ANNUAL REGULATORY AGENDA

November 18, 1994 TO: CLOSED-END FUND COMMITTEE No. 27-94 INVESTMENT ADVISERS
COMMITTEE No. 57-94 SEC RULES COMMITTEE No. 122-94 UNIT INVESTMENT TRUST
COMMITTEE No. 75-94 RE: SEC SEMI-ANNUAL REGULATORY AGENDA

The SEC has published its semi-annual agenda of rulemaking actions. A copy is attached for your information. The agenda has been reorganized to group together all rulemaking proposals administered by a particular division of the SEC. Division of Investment Management items are listed on attached Federal Register pages 58627-28 and are summarized on pages 58633-42. There are no significant new rulemaking items relating to investment companies or investment advisers listed on the agenda, the data for which was compiled as of August 12, 1994. Any comments on the agenda must be filed by December 30, 1994. Frances M. Stadler Associate Counsel Attachment

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