

MEMO# 4095

September 18, 1992

INDUSTRY PENSION STATISTICS

September 18, 1992 TO: MEMBERS - ONE PER COMPLEX NO. 28-92 INDUSTRY STATISTICS COMMITTEE NO. 5-92 PENSION COMMITTEE NO. 35-92 RESEARCH COMMITTEE NO. 17-92 SMALL FUNDS COMMITTEE NO. 13-92 RE: INDUSTRY PENSION STATISTICS

The Institute is seeking to expand and improve its collection of data concerning retirement plan investment in mutual funds in order to enhance industry regulatory, legislative and marketing efforts. The purpose of this memorandum is to assess the availability and frequency of certain pension data elements from fund complexes and broker/dealers. Institute members currently provide monthly information concerning the total assets of individual retirement accounts (IRAs) and Keogh plans invested in mutual funds, and year-end data concerning the number and value of certain institutional accounts, including retirement plans. The additional categories set forth in the attached preliminary questionnaire have been recommended by an Institute task force comprised of members of the Industry Statistics, Pension and Research Committees. The preliminary questionnaire will help us ascertain what information would produce a practical scheme of common data elements and frequencies so that we might achieve the most efficient and reliable responses to the periodic questionnaire. We plan to commence the new scheme of data collection shortly after the end of the year. Please have the appropriate person fill out the questionnaire for your complex and return to Kathy Rabon-Summers at the Institute by Friday, October 9. Kathy Rabon-Summers Kathy D. Ireland
Director - Industry Studies Associate Counsel - Pension Attachments

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