

MEMO# 2700

April 18, 1991

INSTITUTE COMMENTS ON OKLAHOMA PROPOSAL; IDAHO LEGISLATURE PASSES INVESTMENT REGISTRATION STATUTE

April 18, 1991 TO: INVESTMENT ADVISERS COMMITTEE NO. 16-91 OKLAHOMA INVESTMENT ADVISER AND ASSOCIATE MEMBERS RE: INSTITUTE COMMENTS ON OKLAHOMA PROPOSAL

Attached is the Institute's comments regarding the investment adviser registration regulations recently proposed by the Oklahoma Securities Division. (See Institute Memorandum to Investment Advisers Committee No. 9-91, dated March 11, 1991.) The Institute stressed the need for flexibility regarding investment adviser examination requirements. We will keep you informed of further developments. W. Richard Mason Assistant Counsel Attachment

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