

MEMO# 4265

November 18, 1992

NASAA NAMES NEW EXECUTIVE DIRECTOR AND ANNOUNCES 1993 COMMITTEE ASSIGNMENTS

November 18, 1992 TO: INVESTMENT ADVISERS COMMITTEE NO. 40-92 STATE LIAISON COMMITTEE NO. 43-92 UNIT INVESTMENT TRUST COMMITTEE NO. 70-92 RE: NASAA NAMES NEW EXECUTIVE DIRECTOR AND ANNOUNCES 1993 COMMITTEE ASSIGNMENTS

_____ Fowler West has been named Executive Director of the North American Securities Administrators Association. Mr. West is currently a Commissioner at the Commodities Future Trading Commission. It is anticipated that he will join NASAA in January 1993. In addition, the Institute has been advised that the following Committee assignments have been made by the North American Securities Administrators Association: Investment Companies Committee Diane Young-Spitzer (MA), Chair Bradley S. Carroll (AZ), Vice-Chair William Beatty (WA) Dennis Britson (IA) Tammy Green Harthcock (MS) Russell Taulli (TX) George Zengler (CA) Financial Planners/Investment Advisers Verley Spivey (GA), Chair Joanne Jones (WA), Vice-Chair Thomas D. Johnson, Jr. (AL) Alton ("Chip") Jones (VA) Amy Rothstein (NY) Investment Company Sales Practices Miriam S. Dant (IN), Chair William Mohr (NY), Vice-Chair Linda M. Cohen (RI) Ann D. Diers (NE) Don Raschke (TX) Registration Exemption Robert Lam (PA), Chair Eugene J. Cella (NC), Vice-Chair Mary Jurta (NH) Lynn Naefach (AZ) Randall E. Schumann (WI) Harry C. Stansbury (LA) Technology Richard D. Latham (TX), Chair Jack L. Beyers (WA) Ralph A. Lambiase (CT) John R. Perkins (MO) Verley J. Spivey (GA) We will keep you advised of other developments. Patricia Louie Associate Counsel

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