

MEMO# 4224

November 2, 1992

1. AGENDA FOR NOVEMBER 13, 1992 2. MINUTES & ATTACHMENTS OF SEPTEMBER 16 & 17, 1992 MEETING 3. NETWORKING AGREEMENT

November 2, 1992 TO: BROKER/DEALER ADVISORY COMMITTEE NO. 33-92 RE: 1. AGENDA FOR NOVEMBER 13, 1992 2. MINUTES & ATTACHMENTS OF SEPTEMBER 16 & 17, 1992 MEETING 3. NETWORKING AGREEMENT

_____. Attached is the agenda for the meeting of the Committee scheduled for Friday, November 13, 1992 at the Walt Disney World Dolphin Hotel located at Lake Buena Vista, Florida. The meeting will begin at 7:30 a.m. with continental breakfast. Lunch will be served and the meeting is expected to end by 5:00 p.m. Dress is casual. The minutes and attachments of the Committee's September 16 & 17, 1992 meeting are also enclosed. Also enclosed is the final version (clean and marked copies) of the standard NETWORKING Agreement, which reflects resolution of the few remaining issues in accordance with the discussion and agreement of the Committee at its September meeting. Note that the cover letter to NSCC requests NSCC to begin the rulemaking process to make the Agreement mandatory. We look forward to seeing you in Florida. Donald J. Boteler Assistant Vice President - Operations Attachment Enclosures

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