

MEMO# 959

February 7, 1989

COMPLIANCE WORKSHOPS

February 7, 1989 TO: STATE SECURITIES MEMBERS NO. 6-89 BLUE SKY GUIDE RECIPIENTS
RE: REMINDER - 1989 BLUE SKY COMPLIANCE WORKSHOPS

As you know, the Institute is holding a series of workshops on Blue Sky Compliance for members in different regions of the country. The three workshops will be held in New York, Boston and San Francisco. Space is limited to 100 persons, so please submit your registration as soon as possible to guarantee a space. The program will cover all facets of registering a mutual fund in the individual states, such as initial registration, unique state requirements, renewal and amendment procedures and exemptions. Employees who do blue sky work and handle state- related issues will especially benefit from these workshops. The workshop leaders will be Mary Ann Miller, Fidelity Distributors Corporation; Elizabeth Nystedt, the Boston Company Advisers, Inc.; Thomas Joseph, Scudder Fund Distributors, Inc. and Rosemary E. Milner, Delaware Management Co., Inc. The workshops are scheduled to run from 9:00 a.m. to 4:15 p.m. with ample time for questions from attendees after each presentation. A tentative agenda is attached. The following are the dates and locations for the workshops: O New York Tuesday, March 7, 1989 The Warwick 65 W. 54th Street New York, NY 10019 212/247-2700 O Boston Tuesday, April 4, 1989 The Lenox Hotel 710 Boylston Street Boston, MA 02116 617/536-5300 O San Francisco Wednesday, May 3, 1989 Hyatt on Union Square 345 Stockton San Francisco, CA 94105 415/398-1234 Registration for these workshops is restricted to employees of ICI member mutual funds and their outside counsel. To register, simply complete the form provided and return it, along with a check for \$150 for the first registrant and \$100 for each additional registrant within the same company, to the Institute. A registration form is attached. Please make your own hotel reservations, if you need a hotel room. A block of rooms has been reserved in each hotel under the name of Investment Company Institute, so mention our name when you call. The address and telephone number of each hotel is listed above. For additional information, please call Lauren Graham at 202/293-7700. Natalie S. Shirley Associate General Counsel Attachments

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