

**MEMO# 1253**

July 11, 1989

## **FILING FEES IN WASHINGTON STATE**

July 11, 1989 TO: STATE SECURITIES MEMBERS NO. 24-89 RE: FILING FEES IN WASHINGTON STATE \_\_\_\_\_ At the request of the Washington Securities Division, we are sending this memorandum to clarify the state's policy in regard to certain filing fees. In addition to fees for the initial and renewal registration of mutual fund securities, Washington state imposes a \$25 fee for filing annual financial statements and a \$10 fee for filing any amended offering circular, prospectus or sales report. Each document is considered an individual filing. In the event that the proper filing fees are not paid, it is the policy of the Washington Securities Division to notify the sender that the filing is rejected. The documents and proper filing fees must be resubmitted. Susan S. Burgess Legal Assistant

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