

MEMO# 909

January 6, 1989

INSIDER TRADING SEMINAR FOR MONEY MANAGERS

January 6, 1989 TO: MEMBERS - ONE PER COMPLEX NO. 1-89 CLOSED-END FUND MEMBERS NO. 1-89 INVESTMENT ADVISER ASSOCIATE MEMBERS NO. 2-89 INVESTMENT ADVISER MEMBERS NO. 2-89 OPERATIONS MEMBERS NO. 1-89 SEC RULES MEMBERS NO. 1-89 ACCOUNTING/TREASURERS COMMITTEE NO. 1-89 BROKER/DEALER ADVISORY COMMITTEE NO. 2-89 RE: SEMINAR ON DEVELOPING INSIDER TRADING PROCEDURES FOR MONEY MANAGERS _____ This is a reminder that the Institute is holding a special one day seminar on February 8, 1989 to assist members and outside counsel in developing policies and procedures designed to prevent insider trading. All investment advisers and broker-dealers are required to establish, maintain and enforce such policies and procedures under the Insider Trading and Securities Fraud Enforcement Act of 1988. A tentative agenda is attached. If you would like to attend the seminar, please complete the attached registration form and return it to Lauren Graham, Investment Company Institute, 1600 M Street, N.W., Washington, D.C. 20036. Craig S. Tyle Assistant General Counsel Attachment

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