

**MEMO# 13802**

August 3, 2001

## **SUPPLEMENTAL MATERIAL REGARDING "402(F) NOTICE" CONFERENCE CALL SCHEDULED FOR AUGUST 8, 2001 AT 2:30 PM EST**

[13802] August 3, 2001 TO: PENSION COMMITTEE No. 51-01 PENSION OPERATIONS ADVISORY COMMITTEE No. 48-01 TRANSFER AGENT ADVISORY COMMITTEE No. 58-01 RE: SUPPLEMENTAL MATERIALS REGARDING "402(f) NOTICE" CONFERENCE CALL SCHEDULED FOR AUGUST 8, 2001 AT 2:30 PM EST To help facilitate the discussion during the "402(f) notice" conference call scheduled for Wednesday, August 8, 2001 at 2:30 p.m. EST,<sup>1</sup> we have attached a draft sample 402(f) notice prepared by the Capital Group/American Funds, which reflects changes made by "EGTRRA" (changes in light of EGTRRA are "highlighted" in the document). This draft notice also includes substantial changes intended to simplify the current IRS model 402(f) notice. In addition, the current IRS model notice is attached for your review. If you have any questions or comments about the August 8th conference call, please call me at (202) 326-5837. In addition, if you would like to participate in the call and have not yet responded, please complete the attached response form and fax it to Brenda Turner by Tuesday, August 7th. Thomas T. Kim Assistant Counsel Attachments Attachment (in .pdf format) 1 See Institute Memorandum to Pension Committee No. 50-01, Pension Operations Advisory Committee No. 46-01 and Transfer Agent Advisory Committee No. 55-01, dated July 31, 2001.