

MEMO# 1034

March 10, 1989

PROPOSED MASSACHUSETTS RULE AMENDS MANUAL EXEMPTION

March 10, 1989 TO: UNIT INVESTMENT TRUST COMMITTEE NO. 12-89 RE: PROPOSED
MASSACHUSETTS RULE AMENDS MANUAL EXEMPTION

Attached is a copy of a proposed rule that defines "non- issuer transaction or distribution" and also provides other limitations on the manual exemption. For ease in reference, I have also attached a copy of the manual exemption. A hearing on this matter has been set for Thursday March 16, 1989. Please let me have your comments as soon as possible. Natalie Shirley Associate General Counsel
Attachments

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