

MEMO# 14172

November 21, 2001

MONEY LAUNDERING COMPLIANCE CONFERENCE SCHEDULED FOR FEBRUARY 5, 2002 -- MARK YOUR CALENDAR

[14172] November 21, 2001 TO: BOARD OF GOVERNORS No. 59-01 BROKER/DEALER ADVISORY COMMITTEE No. 28-01 COMPLIANCE ADVISORY COMMITTEE No. 59-01 INTERNAL AUDIT ADVISORY COMMITTEE No. 9-01 INTERNATIONAL MEMBERS No. 22-01 INTERNATIONAL OPERATIONS ADVISORY COMMITTEE No. 16-01 PRIMARY CONTACTS - MEMBER COMPLEX No. 88-01 SEC RULES MEMBERS No. 79-01 SMALL FUNDS MEMBERS No. 35-01 TRANSFER AGENT ADVISORY COMMITTEE No. 94-01 UNIT INVESTMENT TRUST MEMBERS No. 39-01 RE: MONEY LAUNDERING COMPLIANCE CONFERENCE SCHEDULED FOR FEBRUARY 5, 2002 -- MARK YOUR CALENDAR The Investment Company Institute has scheduled a Money Laundering Compliance Conference for Tuesday, February 5, 2002, at The Mayflower Hotel in Washington, D.C. This conference will focus on the impact of the recently enacted International Money Laundering Abatement and Anti-Terrorist Financing Act of 2001 on mutual fund complexes. Panels will include discussions on customer identification and verification, strategies for monitoring and reporting suspicious activities, and complex-wide case studies on designing an effective anti-money laundering compliance program. In addition, we intend to invite officials from the Securities and Exchange Commission, the Department of the Treasury, and the Office of Foreign Assets Control to participate in a question and answer session at the end of the program. A detailed conference program, including registration information, will be mailed in the next several weeks. If you wish to make hotel reservations now, you may call The Mayflower Hotel at 1-800-HOTELS-1 or 202/347-3000. To ensure the preferred room rate of \$179 plus tax (single/double), please make your reservation no later than January 7, 2002, and be sure to mention that you will be participating in the Institute's conference. Please note the group rate cannot be guaranteed after the cut-off date. Gail Robinson Legal Projects Assistant