

MEMO# 1178

June 7, 1989

ALASKA'S SALES REPORT REQUIREMENT AND MISSOURI'S SALES REPORT FORM

June 7, 1989 TO: STATE SECURITIES MEMBERS NO. 20-89 BLUE SKY GUIDE RECIPIENTS RE:
ALASKA'S SALES REPORT REQUIREMENT AND MISSOURI'S SALES REPORT FORM

_____ We are pleased to inform you that we have confirmed with the Alaska Securities Division that they now require sales reports only on an annual basis; it is no longer a semi-annual requirement. The issuer may determine whether to submit the sales report following fiscal year-end or calendar year-end. Once established, however, the annual sales report must be submitted consistently, within 30 days of fiscal or calendar year-end. Effective June 12, 1989, the annual sales report which Missouri requires of all investment companies, should be filed on the uniform Form USR-1 and not the state form S-26b. Additionally, the address listed in the Blue Sky Guide for the District of Columbia Securities Commission should be 450 Fifth Street, N.W., Washington, DC 20001. (The SEC is in the same building.) The phone number is 202/626-5105. Susan S. Burgess Legal Assistant

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