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2001 MEMBERSHIP DIRECTORY & GUIDE

[13397] April 10, 2001 TO: BOARD OF GOVERNORS No. 17-01 PRIMARY CONTACTS -BROKER DEALER ASSOCIATE MEMBERS PRIMARY CONTACTS - CLOSED-END FUNDS No. 1-01 PRIMARY CONTACTS - INVESTMENT ADVISER ASSOCIATE MEMBERS PRIMARY CONTACTS -MEMBER COMPLEX No. 26-01 PRIMARY CONTACTS - UNIT INVESTMENT TRUSTS STANDING COMMITTEE MEMBERS RE: 2001 MEMBERSHIP DIRECTORY & GUIDE Enclosed is copy of the Institute's 2001 Membership Directory & Guide. This Directory is intended to provide you with a handy reference guide of the Institute's membership and their primary contacts, committee members and Institute staff. Please note that the cut-off date for updated member information was January 2, 2001. Therefore, changes reported to the Institute after this date, or new members who have since joined, may not appear in this year's printed edition. By the end of this month, more current information will be available via our online directory on ICINetSM (http://members.ici.org), the Institute's website for members. If you do not already have a login ID and password, please complete the enrollment form on the home page. There is a form on pages 197-199 that may be used to indicate changes or updates to the information printed in the Directory. However, changes to standing committee representatives must be done separately in writing and authorized by the group's primary contact. This Directory has been divided into eight sections, which are detailed in the Table of Contents. Section VII (page 159-174) lists ICI and ICI Mutual staff, with a brief description of each department, direct-dial phone numbers and email addresses of each staff member. This publication is for the exclusive use of Institute members, and is not to be made available to any nonmember (such as a service provider), nor be used for solicitation purposes. We have a limited number of additional directories that are available to members free of charge by calling Ms. Sally Coleman at (202)326-5872. Ginny Echeverria Director - Membership Services Enclosure

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