

**MEMO# 10856**

April 1, 1999

# **1999 MUTUAL FUND COMPLIANCE CONFERENCE -- PRELIMINARY PROGRAM**

[10856] April 1, 1999 TO: ACCOUNTING/TREASURERS MEMBERS No. 12-99 CLOSED-END INVESTMENT COMPANY MEMBERS No. 13-99 COMPLIANCE ADVISORY COMMITTEE No. 11-99 INTERNATIONAL COMMITTEE No. 13-99 OPERATIONS MEMBERS No. 10-99 PRIMARY CONTACTS - MEMBER COMPLEX No. 38-99 SEC RULES MEMBERS No. 23-99 TRANSFER AGENT ADVISORY COMMITTEE No. 23-99 UNIT INVESTMENT TRUST MEMBERS No. 6-99 RE: 1999 MUTUAL FUND COMPLIANCE CONFERENCE - PRELIMINARY PROGRAM

As previously announced, the Institute will hold its first Mutual Fund Compliance Conference on Thursday and Friday, June 10 & 11, 1999, at the Renaissance Mayflower Hotel, 1127 Connecticut Avenue, NW, Washington, D.C. Enclosed are the preliminary program and a registration form. The Conference is designed for experienced mutual fund industry professionals with regulatory compliance responsibilities. It will feature panels covering a variety of mutual fund compliance issues, including: portfolio compliance; money laundering compliance; money market fund compliance; compliance issues associated with non-traditional fund structures; trading and other issues; international compliance issues; codes of ethics; advertising compliance; and distribution compliance. To register for the Conference, please return the registration form and the appropriate fee to the Institute's Conference Division as soon as possible. The registration deadline is May 27th. Please make your own hotel reservations by calling the Renaissance Mayflower Hotel at 202/347-3000. To ensure the preferred room rate of \$199.00 single/double, please make your reservation no later than May 19th, and be sure to identify yourself as an Investment Company Institute conference attendee. You may call our Conference Division at 202/326-5968 if you have any questions regarding registration. Please note that our request for Continuing Legal Education status in New York is currently pending. We look forward to seeing you in June. Frances M. Stadler  
Deputy Senior Counsel Attachments