

MEMO# 19017

July 14, 2005

CONFERENCE CALL SCHEDULED FOR TUESDAY, JULY 19 AT 4 PM TO DISCUSS DEPARTMENT OF LABOR FORMS LM-10 AND LM-30

©2005 Investment Company Institute. All rights reserved. Information may be abridged and therefore incomplete. Communications from the Institute do not constitute, and should not be considered a substitute for, legal advice. [19017] July 14, 2005 TO: COMPLIANCE ADVISORY COMMITTEE No. 47-05 INVESTMENT ADVISERS COMMITTEE No. 7-05 PENSION COMMITTEE No. 25-05 RE: CONFERENCE CALL SCHEDULED FOR TUESDAY, JULY 19 AT 4 PM TO DISCUSS DEPARTMENT OF LABOR FORMS LM-10 AND LM-30 The Institute has scheduled a conference call for Tuesday, July 19 at 4:00 p.m. Eastern time to discuss recent Department of Labor guidance on the applicability of Forms LM-10 and LM-30 under the Labor-Management Reporting and Disclosure Act (LMRDA) to service providers to certain retirement plans.¹ If you would like to participate in this call, please complete the attached response form and fax it to Brenda Turner at (202) 326-5841 or e-mail it to bturner@ici.org by noon on July 19. To participate in the call, please dial 888-942-9539 and enter passcode 57039. Kathy D. Ireland Senior Associate Counsel Attachment (in .pdf format) 1 This guidance (including questions and answers relating to service providers) is available at: http://www.dol.gov/esa/regs/compliance/olms/LM30_LM10_Trusts_Info.htm. Further information on the Form LM-30, including the grace period under the Department's special enforcement policy, is available at http://www.dol.gov/esa/regs/compliance/olms/lm30_information.htm.