

MEMO# 9916

May 11, 1998

YEAR 2000 SURVEY - SECOND REQUEST

[9916] May 11, 1998 TO: OPERATIONS COMMITTEE No. 23-98 SMALL FUNDS COMMITTEE
No. 8-98 RE: YEAR 2000 SURVEY - SECOND REQUEST

As you may recall, the Institute sent a questionnaire regarding Y2K planning and readiness on March 16, 1998. A list of members who responded is attached along with preliminary results of the survey. If you find your firm's name on the list of respondents, please accept our thanks for your cooperation and assistance. If your firm is not listed, please take a few moments to complete the enclosed brief questionnaire (attached to the original March 16 memo) and fax it to Agnes Thomas at fax no. 202-326-8314 as soon as possible. The enclosed preliminary results represent members accounting for 40.7 percent of the industry (by assets). We need to have a higher response rate in order to be sufficiently informed about the industry's current level of preparedness to faithfully represent the industry on this matter to the many interested parties now looking to the Institute for such information. Thank you in advance for your prompt response. Final results will be sent to you. Donald J. Boteler Vice President Operations & Training

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