

MEMO# 5497

January 18, 1994

MEETING MARCH 2ND & 3RD IN JACKSONVILLE

January 18, 1994 TO: BROKER/DEALER ADVISORY COMMITTEE NO. 4-94 RE: MEETING MARCH 2ND & 3RD IN JACKSONVILLE

_____ As has previously been announced, the next meeting of the Broker Dealer Advisory Committee will take place at the offices of Merrill Lynch Financial Data Services in Jacksonville, Florida. Please be advised that since we will be meeting in Merrill's office space, committee members are expected to be in appropriate business attire. The meeting will commence at 2:00 PM EST on March 2nd, and continue through until approximately 6:00 PM. The meeting will subsequently reconvene the next morning (March 3rd) at 8:00 AM, and is expected to adjourn somewhere between 3:00 and 4:00 PM. A block of rooms has been pre-reserved at the Marriott Sawgrass at a rate of \$145.00 per night. Each attendee is responsible for his or her own individual reservations however, and all reservations must be made by Friday, February 1st, 1994 at 3:00 PM EST in order to insure room availability. To make your reservations, simply call 1-800-457-4653, identify yourself as a member of the Merrill Lynch/ICI Conference, and specify the nights for which you will require accommodation. Please be advised, moreover, that the special \$145.00 rate has been extended through Saturday evening for those of you wishing to stay the weekend. For those of you who indicated a desire to play golf on the morning of the 2nd, a blanket tee time going forward from 8:00 AM has been set, but you should discuss among yourselves who you'd like to play with, and then contact the Golf Pro Shop through the number above to reserve specific tee times. For your convenience, those who preliminarily indicated an interest in playing golf are listed at the end of this memo. As a reminder, you'll be responsible for your own greens fees. Dinner, as noted in earlier communications, is scheduled for 7:45 PM at the First Street Grill, and buses have been arranged to shuttle attendees back and forth from the hotel. As for other housekeeping matters, telephone messages during the committee meeting will be accepted at 1-904-928-5385. - 2 - In addition to the above, you will find attached an agenda for the meeting, as well as a draft of the functional specifications for NSCC Fund/SERV Performance Reporting Standardization. This document contains a questionnaire which you should fill out and return to Tony Laudando if you have not already done so. Tony's address is: Pershing Division of Donaldson, Lufkin & Jenrette 1 Pershing Plaza Jersey City, N.J. 07399 Your response will help Tony revise the specifications that he has already put together. Since he'll need some time to analyze responses, we would ask that you return questionnaires to him no later than Friday, February 11th, 1994. Thank you for your attention to all these matters. If you have any questions concerning business, recreation or anything else to do with the March 2nd and 3rd Meeting, please do not hesitate to call me at (202) 326-5848. We look forward to seeing you all in Jacksonville. Kevin Farragher Director of Operations Distribution & Service Those Interested In Playing

Golf: Bob Adams NFSC Tony Laudando Pershing Bill Winter A.G. Edwards Jeff Niss Federated
Roger Rainville Pioneering Ralph Speuhler Keystone Mark Vandehey Oppenheimer John
Wright MFS Jack Kutner TSSG Eileen Gilfeddr TSSG Mike Ford SIS Frank Petrillo DTC Lisa
Dougan DTC Robert Schultz NSCC Don Boteler ICI

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