

**MEMO# 6904**

May 4, 1995

# **AGENDA FOR MAY 11 MEETING ON SEC CONCEPT RELEASE ON RISK DISCLOSURE AND SURVEY OF SHAREHOLDERS' RISK ASSESSMENTS**

May 4, 1995 TO: CLOSED-END FUND COMMITTEE No. 21-95 SEC RULES COMMITTEE No. 61-95 RE: AGENDA FOR MAY 11 MEETING ON SEC CONCEPT RELEASE ON RISK DISCLOSURE AND SURVEY OF SHAREHOLDERS' RISK ASSESSMENTS

\_\_\_\_\_ As you know, we have scheduled a meeting for May 11 at 10:00 a.m. to discuss the SEC's concept release on improving the risk disclosure of mutual funds and other investment companies. Attached is an agenda for the meeting, which closely follows the SEC's release. Immediately following the meeting on the concept release, another meeting has been scheduled to discuss the Institute's proposed survey of shareholders' risk assessments. You are also invited to attend that meeting. Attached is a memorandum regarding that meeting to an Institute task force established to study the details of such a survey. If you have any comments or questions about the attached agenda or the upcoming meetings, please call the undersigned at 202/326-5824. In addition, please contact Eleanor Aguilar at 202/326- 5814 to let her know whether you will be attending the meeting, if you have not already done so. Amy B.R. Lancellotta Associate Counsel Attachments