

MEMO# 14034

October 10, 2001

2001 YEAR-END TAX REPORTING -- REVISIONS TO 2001 PRIMARY UNIFORM FILE LAYOUT

[14034] October 10, 2001 TO: ACCOUNTING/TREASURERS COMMITTEE No. 29-01 BANK AND TRUST ADVISORY COMMITTEE No. 21-01 BROKER/DEALER ADVISORY COMMITTEE No. 25-01 OPERATIONS COMMITTEE No. 29-01 TAX COMMITTEE No. 26-01 TRANSFER AGENT ADVISORY COMMITTEE No. 77-01 RE: 2001 YEAR-END TAX REPORTING -- REVISIONS TO 2001 PRIMARY UNIFORM FILE LAYOUT The Institute previously distributed the final "primary" uniform file layout to be used by regulated investment companies to report 2001 year-end tax information to brokers and banks.¹ The 2001 primary layout contains the information required to prepare IRS Forms 1099 for shareholders. To facilitate use of the 2001 primary layout, we have revised the attached electronic version to include the required computation formulas in an Excel format. No other changes were made to the 2001 primary layout. The revised 2001 primary layout is available on the Institute's members-only internet home page at <http://members.ici.org>. Questions regarding year-end tax reporting may be directed to Deanna Flores of the Institute's Legal Department (at dflores@ici.org or 202/371-5436) or to Justine Phoenix of the Institute's Operations Department (at justine@ici.org or 202/326-5850). Deanna J. Flores Associate Counsel Justine Phoenix Director - Operations/Distribution & Service Attachment (in .pdf format) 1 See Institute Memorandum to Accounting/Treasurer's Committee No. 20-01, Bank and Trust Advisory Committee No. 10-01, Broker/Dealer Advisory Committee No. 17-01, Operations Committee No. 23-01, Tax Committee No. 19- 01 and Transfer Agent Advisory Committee No. 50-01, dated July 13, 2001.