

MEMO# 14444

February 8, 2002

REGULATORS TO HOST MID-ATLANTIC SECURITIES FORUM ON MARCH 21ST

[14444] February 8, 2002 TO: MID-ATLANTIC MEMBERS RE: REGULATORS TO HOST MID-ATLANTIC SECURITIES FORUM ON MARCH 21ST The Securities and Exchange Commission, NASD Regulation, Inc., the Philadelphia Stock Exchange and various state securities agencies are sponsoring a Mid-Atlantic Securities Forum on March 21, 2002 at the Wyndham Franklin Plaza Hotel in Philadelphia, PA. The forum is intended to provide guidance regarding 2002 examination priorities as well as recent examination findings, and to provide an opportunity for registrants to interact with individuals who run the regulatory examination programs that govern their activities. A copy of the forum program, including a registration form, is attached. Frances M. Stadler Deputy Senior Counsel Attachment Attachment (in .pdf format)

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