

**MEMO# 14629**

April 11, 2002

## **ANTI-MONEY LAUNDERING MEETING ON TUESDAY, APRIL 16, 2002**

[14629] April 11, 2002 TO: MONEY LAUNDERING RULES WORKING GROUP No. 19-02  
TRANSFER AGENT ADVISORY COMMITTEE No. 29-02 RE: ANTI-MONEY LAUNDERING  
MEETING ON TUESDAY, APRIL 16, 2002 As you know, the Transfer Agent Advisory  
Committee and the Money Laundering Rules Working Group will be meeting at 3:00 p.m. on  
Tuesday, April 16 to discuss issues arising in implementing anti-money laundering  
programs under the USA PATRIOT Act. Members of the TAAC and the working group may  
participate in the meeting either in person or by telephone. Attached are questions for  
discussion that have been submitted by TAAC or working group members. This list of  
questions will serve as our agenda for the meeting. Please take a moment to review these  
questions; this meeting is intended to provide a forum for firms to discuss their  
implementation of AML programs, and participation in the discussion is highly encouraged.  
Also attached is a list of those expected to participate by telephone. This meeting is limited  
to members of the TAAC and the working group, and is not open to outside counsel or other  
members of the public. If you are not listed as a dial-in participant, you will not be  
permitted to join the call. If you intend to participate in the meeting by telephone and do  
not see your name on the list, please contact Amanda Busick at 202-326-5836 as soon as  
possible. Use the information below to join the call. Given the large number of telephone  
participants, we recommend dialing in at least ten minutes prior to the start of the meeting.  
Conference call number: 1-888-810-3142 Pass code: Money Call leader: Bob Grohowski If  
you have any questions about the meeting, please contact Amanda Busick at 202-326-  
5836. Robert C. Grohowski Associate Counsel Attachment (in .pdf format)