

MEMO# 3300

November 26, 1991

SEC EXTENDS COMMENT PERIOD FOR LARGE TRADER REPORTING PROPOSAL

November 26, 1991 TO: SEC RULES COMMITTEE NO. 69-91 INVESTMENT ADVISERS
COMMITTEE NO. 58-91 INVESTMENT ISSUES COMMITTEE NO. 19-91 RE: SEC EXTENDS
COMMENT PERIOD FOR LARGE TRADER REPORTING PROPOSAL

As we previously informed you, the SEC has proposed an activity-based large trader reporting system. (See Memorandum to SEC Rules Committee No. 52 and Investment Issues Committee No. 10-91, dated August 30, 1991 and Memorandum to Investment Advisers Committee No. 44, dated September 12, 1991). On Monday, November 25, the SEC extended the comment period for the proposal from November 26 to January 6, 1992. If you have any questions about the large trader reporting proposal, please contact the undersigned at (202) 955-3523. Amy B.R. Lancellotta Associate General Counsel

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