

**MEMO# 16240**

June 26, 2003

## **NOTICE OF CONFERENCE CALL ON MONEY LAUNDERING ISSUES -- WEDNESDAY, JULY 16, 2003**

[16240] June 26, 2003 TO: 529 PLAN ADVISORY COMMITTEE No. 37-03 BROKER/DEALER ADVISORY COMMITTEE No. 20-03 COMPLIANCE ADVISORY COMMITTEE No. 50-03 INTERNAL AUDIT ADVISORY COMMITTEE No. 5-03 INTERNATIONAL COMMITTEE No. 40-03 INTERNATIONAL OPERATIONS ADVISORY COMMITTEE No. 28-03 INVESTMENT ADVISERS COMMITTEE No. 15-03 MONEY LAUNDERING RULES WORKING GROUP No. 41-03 OPERATIONS COMMITTEE No. 11-03 SEC RULES COMMITTEE No. 60-03 SMALL FUNDS COMMITTEE No. 23-03 RE: NOTICE OF CONFERENCE CALL ON MONEY LAUNDERING ISSUES - WEDNESDAY, JULY 16, 2003 As many of you know, the Institute's Transfer Agent Advisory Committee (TAAC) periodically holds two-day meetings. During several of these meetings, the afternoon session of the first day has been devoted to money laundering issues. The TAAC has again decided to devote the afternoon session of its upcoming meeting to money laundering issues and has graciously invited other Institute members to participate by telephone. The meeting will be held on Wednesday, July 16th from 2:00 to 5:00 p.m. Eastern time. We will provide a regulatory update at the beginning of the meeting. In lieu of a typical agenda for the rest of the meeting, however, we have arranged for a question and answer session with panel of distinguished speakers: Diane Ambler, Partner, Kirkpatrick & Lockhart Cynthia Fornelli, Deputy Director of the Division of Investment Management, US Securities and Exchange Commission (invited) William Langford, Senior Advisor to the General Counsel, Department of the Treasury Amy Rudnick, Partner, Gibson, Dunn & Crutcher Paul Stevens, Partner, Dechert We expect this panel to begin at 3:00 p.m. Eastern time. 2 You are welcome to participate in this meeting by telephone. Given the format, however, you will be restricted to listen-only mode with the ability to pose questions during the meeting by sending an email to Amanda Busick (abusick@ici.org). To participate, you must pre-register with us by filling out the attached meeting response form no later than Tuesday, July 15, 2003 by fax to Amanda Busick at (202) 326-5836. Please also use the form to list your suggestions for "shop talk" questions to pose to other members and questions to pose to the panelists. Once registered, you can use the information below to join the call. Given the large number of participants in working group calls in the past, we recommend dialing in a few minutes prior to the start of the meeting. Conference Call Number: 1-888-425-4795 Pass Code: Money Laundering Call Leader: Bob Grohowski If you have any questions about this conference call, please contact Amanda Busick at 202-326-5836. Robert C. Grohowski Associate Counsel Attachment (in .pdf format)

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