

MEMO# 6743

March 9, 1995

T+3

March 9, 1995 TO: BROKER/DEALER ADVISORY COMMITTEE No. 9-95 OPERATIONS
MEMBERS No. 13-95 RE: T+3

_____ The ICI
Broker Dealer Advisory Committee's next meeting takes place May 3 and May 4, 1995, exactly 1 month prior to the implementation of T+3 Settlement throughout the securities industry. To that end, the ICI Broker Dealer Advisory Committee would like to use its May meeting as an 11th hour opportunity to take stock of any broker dealer issues that still remain to be resolved with respect to T+3. Thus, please consider this memo a sounding board for any concerns you may have regarding broker dealer related issues relative to T+3. You can use the space at the bottom of this form to record your T+3 issues and then fax the form back to me at 202/326-5853, or you can call me with your T+3 issues at 202/326-5848. All issues submitted to me will be taken up within the ICI, and I will provide responses to you as I am able. Issues for which there appears to be no immediate answer will be addressed at the May ICI Broker Dealer Advisory Committee Meeting. If you have any remaining doubts or uncertainties regarding broker dealer related T+3 issues, or if you require further clarification regarding broker dealer related T+3 matters, please do not hesitate to raise your voice. Kevin Farragher Director of Operations Distribution & Service --

Name: _____ Phone: _____ Firm: _____
_____ T+3 Issue(s):

Source URL: <https://icinew-stage.ici.org/memo-6743>

Copyright © by the Investment Company Institute. All rights reserved. Information may be abridged and therefore incomplete. Communications from the Institute do not constitute, and should not be considered a substitute for, legal advice.