

MEMO# 3280

November 20, 1991

SECURITIES TRANSFER ASSOCIATION TRANSFER ON DEATH (TOD) FORM

November 20, 1991 TO: OPERATIONS COMMITTEE NO. 35-91 TRANSFER AGENT ADVISORY COMMITTEE NO. 53-91 BROKER/DEALER ADVISORY COMMITTEE NO. 39-91 RE: SECURITIES TRANSFER ASSOCIATION TRANSFER ON DEATH (TOD) FORM

The Securities Transfer Association, Inc. recently published Section 12 of the Rules of the STA which were forwarded to the Institute. The STA is encouraging all securities issuers and securities processors to use these Rules when registering securities in TOD (Transfer on Death) form. A copy of Section 12, an Executive Summary regarding the TOD form and a Discussion Guide, all prepared by the STA have been enclosed for your consideration. If you have any questions regarding these materials, please contact David Wells of the STA Operations Committee at (904) 636-2199. Kathleen C. Joaquin Director - Operations/Fund Accounting Enclosure

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