

MEMO# 6782

March 22, 1995

APRIL 11 CONFERENCE ON NEW CONTINUING EDUCATION REQUIREMENTS

March 22, 1995 TO: BANK BROKER/DEALER ADVISORY COMMITTEE No. 9-95
BROKER/DEALER ADVISORY COMMITTEE No. 10-95 DIRECT MARKETING COMMITTEE No.
19-95 HUMAN RESOURCES FORUM No. 8-95 MARKETING POLICY COMMITTEE No. 20-95
MEMBERS - ONE PER COMPLEX No. 35-95 OPERATIONS COMMITTEE No. 16-95 PENSION
MEMBERS No. 19-95 SALES FORCE MARKETING COMMITTEE No. 19-95 SEC RULES
COMMITTEE No. 44-95 SHAREHOLDER COMMUNICATIONS COMMITTEE No. 15-95 STATE
LIAISON COMMITTEE No. 16-95 TRANSFER AGENT ADVISORY COMMITTEE No. 21-95 UNIT
INVESTMENT TRUST COMMITTEE No. 33-95 RE: APRIL 11 CONFERENCE ON NEW
CONTINUING EDUCATION REQUIREMENTS

As previously reported, the Securities and Exchange Commission recently adopted rule amendments to require members of the National Association of Securities Dealers, Inc. ("NASD") to participate in a continuing education program as developed by the Securities Industry/Regulatory Council on Continuing Education (the "Council"). The Institute will hold a special one-day conference in Washington, DC on April 11, 1995 to review the new requirements and offer drafting guidelines and other compliance ideas. Speakers will include William R. Simmons, Chairman of the Council, other Council members, representatives of the NASD, the New York Stock Exchange, and Institute member firms, and other industry experts. Enclosed is a brochure on the conference. This conference will not be repeated, so you'll want to be sure to take advantage of this one-time opportunity. Stephanie Brown Director Marketing & Training Enclosure

Source URL: <https://icinew-stage.ici.org/memo-6782>

Copyright © by the Investment Company Institute. All rights reserved. Information may be abridged and therefore incomplete. Communications from the Institute do not constitute, and should not be considered a substitute for, legal advice.