

**MEMO# 13783**

July 30, 2001

## **MEETING NOTICE -- SEPTEMBER 20, 2001**

[13783] July 30, 2001 TO: BROKER/DEALER ADVISORY COMMITTEE No. 20-01 RE: MEETING NOTICE -- SEPTEMBER 20, 2001 The next meeting of the Broker/Dealer Advisory Committee will be held on Thursday, September 20 at the New York Marriott Marquis located at 1535 Broadway in New York City. The meeting will begin at 9:00 a.m. Lunch will be served at noon and the meeting is expected to conclude promptly at 3 p.m. Following the meeting at the Marriott, the BDAC will tour NASDAQ's Market Site in Times Square. The tour will conclude by 4 p.m. AGENDA - SEPTEMBER 20 MEETING Attached is a preliminary agenda. In addition to the agenda topics listed, committee members will break out into small groups for discussion sessions. Please provide your discussion session topics to Nino (njp@capgroup.com) or Justine (justine@ici.org) by August 10. ACCOMODATIONS AT THE MARRIOTT MARQUIS If you require overnight accommodations, please contact the New York Marriott Marquis at 212-398-1900 or call 1-800-843-4898. The room rate is \$199 single/double. Please be sure to mention that you are attending an Investment Company Institute (ICI) Broker/Dealer Advisory Committee function. You must make your reservations no later than August 20 to receive this discounted rate. BDAC RECEPTION AND DINNER - SEPTEMBER 19 On September 19, there will be a reception for committee members at the Marriott Marquis from 6:00 to 7:00 p.m. Further details regarding the room location will be provided in a subsequent memo to BDAC members. Following the reception, committee members will be given the option of participating in a dine-around at two local restaurants. Please indicate your preference on the attached response form. BDAC WALKERS - SEPTEMBER 20 On September 20, all BDAC meeting attendees are invited to participate in a walk for approximately 45 minutes. The walk will begin promptly at 7:15 a.m. from the lobby of the 2Marriott. Please indicate whether or not you will be participating on the attached response form. MEETING ATTENDANCE Meeting attendance is limited to Committee members or their designated substitutes only. Any exceptions to this policy must be approved in advance by the BDAC Chairman and the ICI. Please complete the attached meeting response form and indicate whether or not you or a representative of your firm will be attending the September 20 meeting and other meeting events. Several weeks prior to the meeting, attendees will receive a package containing attendee lists, a summary of discussion points and supporting documentation for the agenda topics. FAX RESPONSE FORM BY AUGUST 20 Please fax the completed meeting response forms to Misty Phillips at fax number 202/326-5853 by August 20, 2001. ATTIRE Attire for the meeting, reception and dinner is business casual. We wish to thank the NYC-BDAC Meeting Agenda Task Force for their efforts in planning the meeting and committee activities. Our thanks to Bob Adams, John Cronin, Mike Doyle, and Dave Warsoff. We look forward to seeing you in New York! Nino Palermo Justine Phoenix Vice President - American Funds Service Company Director - Operations/ Chairman - Broker/Dealer Advisory Committee Distribution & Service Attachments Attachment no. 1 (in .pdf format)

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