

MEMO# 17536

May 14, 2004

SEC EXTENDS COMMENT PERIOD FOR PROPOSED REGULATION NMS

[17536] May 14, 2004 TO: EQUITY MARKETS ADVISORY COMMITTEE No. 23-04 SEC RULES MEMBERS No. 72-04 RE: SEC EXTENDS COMMENT PERIOD FOR PROPOSED REGULATION NMS The SEC has announced that it is extending the comment period on proposed Regulation NMS from May 24, 2004, to June 30, 2004.¹ At the same time, the SEC announced plans to issue a supplemental request for comment on proposed Regulation NMS to reflect matters discussed during the SEC's Regulation NMS hearing that was held on April 21, 2004. The press release announcing the extension of the comment period states that the extension and the supplemental request for comment are intended to afford the public a full opportunity to address in their comment letters the matters discussed at the hearing. Ari Burstein Associate Counsel 1 The press release announcing the extension can be found on the SEC's website at <http://www.sec.gov/news/press/2004-64.htm>.

Copyright © by the Investment Company Institute. All rights reserved. Information may be abridged and therefore incomplete. Communications from the Institute do not constitute, and should not be considered a substitute for, legal advice.