

**MEMO# 6170**

August 26, 1994

## **RECOMMENDATIONS OF THE ADVISORY GROUP ON PERSONAL TRADING**

August 26, 1994 TO: COMPLIANCE COMMITTEE NO. 15-94 SEC RULES COMMITTEE NO.  
98-94 RE: RECOMMENDATIONS OF THE ADVISORY GROUP ON PERSONAL TRADING

On July 26th, the Institute held a meeting of members to discuss the Advisory Group's recommendations. (See Memorandum to Compliance Committee No. 13-94; SEC Rules Committee No. 74-94, dated July 12, 1994). It was suggested by the attendees of the July 26th meeting that we follow up with another meeting to discuss additional interpretive questions that have arisen. We therefore have scheduled a meeting at the Institute for Friday, October 7th at 10 a.m. In order to help us prepare for the meeting we would appreciate any interpretive questions that you might have before the meeting. (My direct number is 202/326-5819.) Please indicate in the space provided below whether you will be able to attend the meeting and the luncheon. Thomas M. Selman Assistant Counsel Please detach and return to Shirley McCoy, (202/326-5828, fax) at the Investment Company Institute, 1401 H Street, N.W., Washington, D.C. 20005-2148, by September 30, 1994. YES NO Meeting Luncheon Print Your Name Print Company Name

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