

**MEMO# 2954**

July 24, 1991

## **SURVEY OF BUSINESS ACTIVITIES OF FUND ADVISERS**

July 24, 1991 TO: MEMBERS - ONE PER COMPLEX NO. 30-91 RE: SURVEY OF BUSINESS  
ACTIVITIES OF FUND ADVISERS

---

The Institute is conducting a survey of members to determine the extent of their involvement in insurance and other activities not related to the securities or banking business. The purpose of the survey is to aid the Institute in evaluating legislative proposals for financial services restructuring that would limit the types of securities firms (including mutual fund advisers) that could become affiliated with banks, based on their activities or those of affiliated firms. Please complete the attached survey and return it to Michael Branch by fax no later than Friday, August 9. (The fax number is 202/659-1519.) Please note that the survey requires that you indicate activities engaged in by both your advisory firm and all affiliated firms. Thus, it will be necessary to refer to the consolidated assets of any ultimate parent company in order to complete the survey properly. Some Institute members responded to a similar survey this January. Please note that this survey is somewhat different; specifically, it requests that you estimate the extent of non- securities activities based on consolidated assets, rather than gross revenues. Any questions on the survey may be directed to Craig S. Tyle (202/955-3522). We greatly appreciate your help in this matter. Matthew P. Fink Senior Vice President & General Counsel Attachment

---

**Source URL:** <https://icinew-stage.ici.org/memo-2954>

Copyright © by the Investment Company Institute. All rights reserved. Information may be abridged and therefore incomplete. Communications from the Institute do not constitute, and should not be considered a substitute for, legal advice.