

MEMO# 14186

November 29, 2001

CONFERENCE CALL REGARDING PROPOSED AIMR TRADE MANAGEMENT GUIDELINES SCHEDULED FOR DECEMBER 17

[14186] November 29, 2001 TO: COMPLIANCE ADVISORY COMMITTEE No. 61-01 EQUITY MARKETS ADVISORY COMMITTEE No. 50-01 INVESTMENT ADVISERS COMMITTEE No. 27-01 SEC RULES COMMITTEE No. 96-01 RE: CONFERENCE CALL REGARDING PROPOSED AIMR TRADE MANAGEMENT GUIDELINES SCHEDULED FOR DECEMBER 17 As we previously informed you,¹ the Association for Investment Management and Research ("AIMR") has published for comment draft "Trade Management Guidelines." The Guidelines are a compilation of best practices that AIMR encourages investment management firms to adopt. Comments on the Guidelines are due to AIMR no later than February 12, 2002. The Institute has scheduled a conference call for December 17 at 4:00 p.m. Eastern to discuss the draft Guidelines and any comments members would like the Institute to consider including in its comment letter. In order to participate on the conference call, you will need to dial in at 888-469-0487 and provide the passcode - AIMR/Ari Burstein. In addition, if you will be participating on the call, please return this form to Brenda Turner by fax at 202-326-5839 at the Investment Company Institute no later than December 13, 2001. Ari Burstein Associate Counsel Print Name: _____ Print Company Name: _____ 1 Memorandum to SEC Rules Committee No. 91-01, Investment Advisers Committee No. 26-01, Compliance Advisory Committee No. 57-01 and Equity Markets Advisory Committee No. 46-01, dated November 19, 2001.

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