

**MEMO# 5087**

August 20, 1993

## **INVESTMENT COMPANY EVENTS DURING THE NASAA FALL CONFERENCE**

August 20, 1993 TO: INVESTMENT ADVISERS COMMITTEE NO. 25-93 STATE LIAISON  
COMMITTEE NO. 33-93 UNIT INVESTMENT TRUST COMMITTEE NO. 34-93 RE: INVESTMENT  
COMPANY EVENTS DURING THE NASAA FALL CONFERENCE

PLEASE MARK YOUR CALENDARS!

As many of you are aware, the 1993 NASAA Fall Conference will be held September 26-29 in Orlando, Florida. In conjunction with the Fall Conference, the Institute will be hosting a cocktail reception on Saturday, September 25 for Institute members and guests. The reception is tentatively scheduled for 5:00 p.m. to 7:00 p.m. at The Yacht Club -- final details regarding the reception will be sent to you at a later date. Please feel free to notify other Institute members who are not members of the Committee of the Institute's reception. Also, in light of the many issues which will be discussed during the NASAA Fall Conference, the Institute has scheduled a meeting on Sunday, September 26 at 5:30 p.m. at the Hilton at WaltDisney World Village in Orlando, Florida to update its members on the proposals likely to be considered by the various NASAA committees during the Fall Conference. Please contact Shirley McCoy at (202) 955-8430 if you will be able to attend this meeting no later than Tuesday, September 21. The following is a brief summary of the NASAA committee meetings or panels involving investment companies and/or investment advisers: Saturday, September 25 1:00 - 5:00 p.m. Financial Planners/Investment Advisers Monday, September 27 8:30 a.m. - 10:30 a.m. Investment Companies Committee 9:00 a.m. - 12:00 noon Investment Companies Sales Practices Committee 11:00 am. - 12:00 noon Uniform Examinations Committee 1:00 p.m. - 3:00 p.m. Panel Discussion: Sale of Mutual Funds by Banks and Other Investment Company Developments Wednesday, September 29 8:00 a.m. - 9:30 a.m. SRD Briefing \* \* \* Additional information regarding the Fall Conference may be obtained by contacting the NASAA Corporate Office at (202) 737-0900. We look forward to seeing you in Orlando! Patricia Louie Tamara K. Cain Susan S. Burgess Associate Counsel Assistant Counsel Legal Assistant