

**MEMO# 19015**

July 13, 2005

## **SEC'S CONTACT INFORMATION FOR CCO OUTREACH PROGRAMS**

©2005 Investment Company Institute. All rights reserved. Information may be abridged and therefore incomplete. Communications from the Institute do not constitute, and should not be considered a substitute for, legal advice. [19015] July 13, 2005 TO: CHIEF COMPLIANCE OFFICER COMMITTEE No. 52-05 COMPLIANCE ADVISORY COMMITTEE No. 46-05 RE: SEC'S CONTACT INFORMATION FOR CCO OUTREACH PROGRAMS As you may know, the Securities and Exchange Commission's Office of Compliance Inspections and Examinations (OCIE) and the Division of Investment Management have organized several outreach activities to better communicate with investment company and investment adviser chief compliance officers. These activities include the CCO Seminars that are being conducted at various locations throughout the United States as well as plans for a periodic newsletter and annual conference for CCOs. We understand that each SEC regional and district office is providing notice of conferences to firms in their region. If your SEC regional office has not previously advised you of these activities, and you are interested in receiving such information, you may want to contact such office. Attached is a list of the phone numbers and e-mail addresses for each of the regional offices. Tamara K. Salmon Senior Associate Counsel Attachment (in .pdf format)

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