

MEMO# 1575

December 6, 1989

NEW NASAA COMMITTEE ESTABLISHED

December 6, 1989 TO: STATE LIAISON COMMITTEE NO. 18-89 UNIT INVESTMENT TRUST
COMMITTEE NO. 82-89 RE: NEW NASAA COMMITTEE ESTABLISHED

_____ The North American Securities Administrators Association, Inc. ("NASAA") has established a new committee, the Investment Company Registration/Trading Practices Committee, to review issues concerning mutual funds and unit investment trusts. The members of the Committee are selected on an annual basis and include the following: Michael Northcutt, Texas - Chair Diane Young-Spitzer, Massachusetts Bradley Carroll, Maryland Dennis Britson, Iowa James Fischer, Wisconsin Tammy Harthcock, Mississippi The Institute has offered its assistance in providing information regarding mutual funds and unit investment trusts to the Chair of the Committee. At this time, the Committee has not set its agenda for 1990 and contemplates meeting early next year to determine what issues it will focus upon. We will keep you informed of any developments. Patricia Louie Assistant General Counsel

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