

MEMO# 3823

June 2, 1992

AGENDA AND MATERIALS FOR JUNE 22 - 23 MEETING

June 2, 1992 TO: BROKER/DEALER ADVISORY COMMITTEE NO. 19-92 RE: AGENDA AND MATERIALS FOR JUNE 22-23 MEETING

The agenda for June 22-23 meeting is attached. The following materials are enclosed: 1. Minutes of the prior meeting 2. Sample of Waddell & Reed's tax reporting statements 3. ICI summary of SEC staff report on investment company regulation 4. Current Committee list 5. A DTC brochure on FundSERV and Networking links As the enclosed minutes reflect, Committee members were requested to provide letters of commitment to NSCC in support of the proposed commission settlement service. As very few letters have been received to date by NSCC, please take the time to provide NSCC with your letter prior to the meeting if you have not already done so. The Networking Agreement Task Force met last week and thoroughly reviewed a revised draft of the proposed standard agreement which reflected many of the comments submitted by Task Force members and other Committee members. Additional changes are now being made to the document based on the last week's meeting discussion. The revised agreement will be mailed to the Committee prior to the June 22-23 meeting. We look forward to seeing you in Washington later this month. Manny Hernandez Donald O'Connor
Chairman Vice President - Operations

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