

**MEMO# 7494**

December 18, 1995

## **PUBLICATION BY SEC OF LIST OF RULES AND FORMS SCHEDULED FOR REVIEW EVERY TEN YEARS**

December 18, 1995 TO: CLOSED-END FUND COMMITTEE No. 62-95 INVESTMENT ADVISERS  
COMMITTEE No. 48-95 SEC RULES COMMITTEE No. 129-95 SMALL FUNDS COMMITTEE No.  
22-95 UNIT INVESTMENT TRUST COMMITTEE No. 88-95 RE: PUBLICATION BY SEC OF LIST OF  
RULES AND FORMS SCHEDULED FOR REVIEW EVERY TEN YEARS

The  
Securities and Exchange Commission has published a list of rules and forms to be reviewed  
pursuant to the Regulatory Flexibility Act, which requires, among other things, that each  
agency review every ten years each of its rules that has a significant economic impact upon  
a substantial number of small entities. The purpose of the review is to determine whether  
the listed rules and forms should be continued without change, or should be amended or  
rescinded in order to minimize any significant impact of them upon a substantial number of  
small entities. The list of rules and forms to be reviewed by the Division of Investment  
Management is found on pages 3-12 of the attachment. Comments on the list are due by  
January 31, 1996. If you have any comments that you would like to be included in a  
possible comment letter by the Institute, please contact the undersigned by January 5th.  
Alexander C. Gavis Assistant Counsel Attachment

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