

MEMO# 15365

November 19, 2002

VIDEOTAPES: 2002 ANTI-MONEY LAUNDERING COMPLIANCE WORKSHOP

[15365] November 19, 2002 TO: BROKER/DEALER ADVISORY COMMITTEE No. 46-02 BROKER/DEALER ASSOCIATE MEMBERS No. 13-02 COMPLIANCE ADVISORY COMMITTEE No. 102-02 CONTINUING EDUCATION AND TRAINING MEMBERS No. 13-02 HUMAN RESOURCES MEMBERS No. 12-02 INTERNAL AUDIT ADVISORY COMMITTEE No. 11-02 INTERNATIONAL MEMBERS No. 29-02 INTERNATIONAL OPERATIONS ADVISORY COMMITTEE No. 53-02 INVESTMENT ADVISER ASSOCIATE MEMBERS No. 32-02 MONEY LAUNDERING RULES WORKING GROUP No. 67-02 OPERATIONS MEMBERS No. 33-02 PRIMARY CONTACTS - MEMBER COMPLEX No. 93-02 SEC RULES MEMBERS No. 102-02 SMALL FUNDS MEMBERS No. 50-02 TRANSFER AGENT ADVISORY COMMITTEE No. 100-02 RE: VIDEOTAPES: 2002 ANTI-MONEY LAUNDERING COMPLIANCE WORKSHOP The Investment Company Institute is pleased to announce that its 2002 Anti-Money Laundering Compliance Workshop is now available on videotape. This workshop, which was designed for mutual fund legal, compliance and operations professionals, addressed the issues involved in implementing new Securities and Exchange Commission (SEC) and Treasury anti-money laundering regulations. More information about the workshop topics and speakers is included on the attached flyer. One set of speaker handouts (binder) will accompany all orders for the Anti-Money Laundering Workshop videotape set (there are three videotapes per set, providing approximately 5½ hours of compliance education). The cost of the videotape set and binder is \$600. Please use the attached form to place your order for this cost-effective compliance resource. We also have attached a flyer describing the Institute's online education courses. Please visit the Institute's online education portal at www.icilearning.org to try the free demos of these courses. 2 If you have any questions about the Institute's continuing education and compliance resources, please contact me at 202/326-5883 (email: brenner@ici.org). Linda Brenner Director - Operations & Continuing Education Note: Not all recipients receive the attachments. To obtain copies of the attachments, please visit our members website (<http://members.ici.org>) and search for memo 15365, or call the ICI Library at (202) 326-8304 and request the attachments for memo 15365. Attachment no. 1 (in .pdf format)