

MEMO# 9264

September 24, 1997

ROTH IRA WORKING GROUP MEETING SCHEDULED FOR OCTOBER 7

[9264] September 24, 1997 TO: PENSION COMMITTEE No. 37-97 RE: ROTH IRA WORKING GROUP MEETING SCHEDULED FOR OCTOBER 7

The Institute is forming an ad hoc working group to develop a prototype document for the new Roth IRA. We have scheduled an initial meeting of the working group for Tuesday, October 7, 1997. The meeting will be held in the David Silver Conference Room at the Institute, on the 12th floor of 1401 H St., NW, Washington, DC. The meeting will begin at 10:00 a.m. and end at approximately 2:00 p.m. A lunch will be served during the meeting. The purpose of the meeting is to identify issues and industry preferences for form design and discuss those with the Service as soon as possible. Time permitting, we will also discuss other Roth IRA issues at the meeting. To assist us in obtaining an accurate registration of attendees, please indicate on the attached response form if you plan to attend the meeting and lunch.

Kathryn A. Ricard Assistant Counsel - Pension

ATTENDANCE RESPONSE FORM INVESTMENT COMPANY INSTITUTE ROTH IRA WORKING
GROUP MEETING Tuesday, October 7, 1997 Please fax this notice by Friday, October 3,
1997 to Elizabeth Lyons Investment Company Institute, 202-326-5839 YES NO ____ ____
ROTH IRA WORKING GROUP MEETING - 10:00 a.m. ____ ____ LUNCH
____ COMMITTEE MEMBER'S NAME or
ALTERNATE'S NAME