

MEMO# 10988

May 17, 1999

FINAL PROGRAM FOR THE 1999 MUTUAL FUND COMPLIANCE CONFERENCE

[10988] May 17, 1999 TO: ACCOUNTING/TREASURERS MEMBERS No. 13-99 CLOSED-END INVESTMENT COMPANY MEMBERS No. 18-99 COMPLIANCE ADVISORY COMMITTEE No. 18-99 INTERNATIONAL COMMITTEE No. 22-99 OPERATIONS MEMBERS No. 12-99 PRIMARY CONTACTS - MEMBER COMPLEX No. 53-99 SEC RULES MEMBERS No. 31-99 TRANSFER AGENT ADVISORY COMMITTEE No. 31-99 UNIT INVESTMENT TRUST MEMBERS No. 10-99 RE: FINAL PROGRAM FOR THE 1999 MUTUAL FUND COMPLIANCE CONFERENCE

The Investment Company Institute will sponsor its first Mutual Fund Compliance Conference on June 10-11, 1999, at the Renaissance Mayflower Hotel, 1127 Connecticut Avenue, NW, Washington, D.C. Enclosed is the final conference brochure. The conference is designed for experienced mutual fund industry professionals with regulatory compliance responsibilities. It will feature panels covering a variety of mutual fund compliance issues, including: portfolio compliance; money laundering compliance; money market fund compliance; compliance issues associated with non-traditional fund structures; trading and other issues; international compliance issues; codes of ethics; advertising compliance; and distribution compliance. Please refer to the enclosed brochure for further details. If you have not yet registered for the conference, please return the registration form, along with the attached form indicating your choices for the concurrent panel sessions, and the appropriate fee to the Institute's Conference Division as soon as possible. Conference registration cancellations received between May 28 and June 4 will be subject to a \$250 administrative fee. We regret that no registration fees can be refunded after June 4. To make your hotel reservations, please call the Renaissance Mayflower Hotel at 202/347-3000 by May 28 and mention that you will be attending the Institute's conference. Please call our Conference Division at 202/326-5968 if you have any questions regarding registration. We look forward to seeing you next month. Frances M. Stadler Deputy Senior Counsel Enclosure Attachment

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