

MEMO# 18625

March 4, 2005

SEC AND NASD PHILADELPHIA OFFICES ANNOUNCE SECURITIES CONFERENCE

[18625] March 4, 2005 TO: CLOSED-END INVESTMENT COMPANY COMMITTEE No. 11-05 COMPLIANCE ADVISORY COMMITTEE No. 20-05 CHIEF COMPLIANCE OFFICER COMMITTEE No. 22-05 EQUITY MARKETS ADVISORY COMMITTEE No. 16-05 SEC RULES COMMITTEE No. 19-05 SMALL FUNDS COMMITTEE No. 6-05 RE: SEC AND NASD PHILADELPHIA OFFICES ANNOUNCE SECURITIES CONFERENCE Ari Gabinet, District Administrator of the Securities and Exchange Commission's Philadelphia District Office, has requested that we bring to your attention an upcoming conference sponsored by the Philadelphia offices of the SEC and NASD (the conference announcement is attached). The conference will provide attendees with an opportunity for an open dialogue with the securities regulators on a wide variety of issues. Speakers will include SEC Commissioner Roel Campos, Vanguard Group Chairman and CEO John J. Brennan, and senior officials from the SEC and NASD. The conference will only be open to the registrant community (no press or outside lawyers are invited). Further information regarding conference registration will be provided as it becomes available. Ari Burstein Associate Counsel Attachment (in .pdf format)

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